



U.S. ARMY COMBAT CAPABILITIES DEVELOPMENT COMMAND – ARMY RESEARCH LABORATORY

Army STTR Proposal Process

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Approved for public release: distribution
unlimited.



DEFENSE SBIR/STTR INNOVATION PORTAL (DSIP)



DSIP Defense SBIR/STTR
Innovation Portal
Proposal Submissions

[HOME](#)
[TOPIC INFO](#)
[PROGRAM INFO](#)
[Login/Register](#)

Welcome to the DSIP (Defense SBIR/STTR Innovation Portal)!

Upcoming Changes to DSIP Helpdesk Support

To better assist our entire user base, beginning April 1, 2021, the DSIP Helpdesk will process all new support requests via **email only**, utilizing an automated ticketing system and the same Helpdesk email address currently in place. Phone inquiries will be asked to submit an email ticket. This will streamline assistance and allow us to provide consistent support to our users.



Quick Links <<



BAA Schedule

View active, upcoming, and archived Broad Agency Announcements (BAAs)



Topics and Topic Q&A (SITIS)

View available topics for each BAA and ask questions during Pre-Release periods



Learning & Support

View templates, training materials, and FAQs



Additional Program Info

Access more information on the DoD SBIR/STTR program



SBIR.gov

Learn more about the overall SBIR/STTR program and search prior awards



DSIP Listserv

Sign up for the DSIP Listserv to receive emails about BAAs, new opportunities, and more!

Active BAAs

DoD SBIR 2021.3

CLOSES IN

52 05:16:13
DAYS HRS MINS SECS

DoD STTR 2021.C

CLOSES IN

52 05:16:13
DAYS HRS MINS SECS

ARMY SBIR 2021.4

1 Topics Open

DARPA SBIR

HR001121S0007

3 Topics Open

<https://www.dodsbirsttr.mil/submissions/login>



SUBMISSIONS HOMEPAGE



DSIP Defense SBIR/STTR
Innovation Portal
Proposal Submissions

HOME

FIRM INFO

TOPIC INFO

PROGRAM INFO

MY PROPOSALS



Welcome, Jennifer J

LAST LOGIN - IP Address: 65.242.97.254 | Date & Time: 01/11/2021 09:53:48 am ET | Browser/OS/Device: Chrome 0 / Win10 10.0 / Desktop

Note: You must click "Submit" on each of your Firm-level forms (Firm Certifications, Audit Information and Company Commercialization Report) to mark them as 100% complete. You may make any necessary updates to these forms prior to submitting. If there are no updates, you can simply click the "Submit" button for each form.

Firm Forms 35% COMPLETED

8%

Firm Certifications

0%

Audit Information

100%

Company Commercialization Report

Recent Activity

- Now** [COMPANY COMMERCIALIZATION REPORT](#)
- JTJ Holdings
- LAST ACCESSED: 01/11/2021 10:04 AM ET
- Now** [COMPANY COMMERCIALIZATION REPORT](#)

Phase I & Direct to Phase II Proposals

Phase II Proposals

Archived Proposals



STARTING A PROPOSAL



Phase I & Direct to Phase II Proposals	Phase II Proposals	Archived Proposals
<p>DOD SBIR 2020.1 9 Proposal(s) Due 12/18/2019</p>	<p>9 In Progress</p>	<p>0 Ready to Certify</p>
		<p>0 Submitted</p>
<p>START NEW PROPOSAL</p>		
<p>D2D-0004 - Tactical Radios Last modified: 11/21/2019 • 4:14 PM ET</p>	<p>Direct to Phase II Created: 11/21/2019</p>	<p>DARPA IN PROGRESS (0%)</p>
<p>A201-006-0004 - Artificial Intelligence for Emergency Last modified: 11/21/2019 • 4:13 PM ET</p>	<p>Phase I Created: 11/21/2019</p>	<p>ARMY READY TO CERTIFY CERTIFY NOW →</p>



STARTING A PROPOSAL



START PROPOSAL

BAA

DoD SBIR 2020.1

Program

SBIR

*Phase

Select Phase

*Proposal Title

Create Proposal



STARTING A PROPOSAL



START PROPOSAL

BAA

DoD SBIR 2020.1

Program

SBIR

*Phase

Select Phase

*Proposal Title

*Phase

Direct to Phase II

Phase I

Create Proposal



STARTING A PROPOSAL



START PROPOSAL

BAA

DoD SBIR 2020.1

Program

SBIR

*Phase

Select Phase

*Proposal Title

*Phase

Direct to Phase II

Phase I

*Component

ARMY

CBD

DHA

DMEA

DTRA

MDA

Create Proposal



STARTING A PROPOSAL



START PROPOSAL

BAA

DoD SBIR 2020.1

Program

SBIR

*Phase

Select Phase

*Proposal Title

*Phase

Direct to Phase II

Phase I

*Component

ARMY

CBD

DHA

DMEA

DTRA

MDA

*Topic

Select Topic

Create Proposal



STARTING A PROPOSAL



START PROPOSAL

BAA

DoD SBIR 2020.1

Program

SBIR

*Phase

Select Phase

*Proposal Title

Create Proposal



PROPOSAL INFORMATION PAGE



Note: You must click "Submit" on each of your Firm-level forms (Firm Certifications, Audit Information and Company Commercialization Report) to mark them as 100% complete. You may make any necessary updates to these forms prior to submitting. If there are no updates, you can simply click the "Submit" button for each form.

[Archived BAAs:](#) Access the Archived BAAs for information you may need to complete your phase II or Sequential Phase II proposal.

C2-0583 - Proposal Title

Phase: Phase II | Program: STTR | Component: CBD

Proposal Information
Last Modified: 01/11/2021

0% COMPLETED

- Vol. I - Proposal Summary (0%)
- Vol. I - Proposal Certification (0%)
- Vol. I - Contact Information (0%)
- Vol. II - Technical Volume (0%)
- Vol. III - Cost Volume (0%)
- Vol. V - Supporting Documents (0%)

Certify Proposal

CORPORATE OFFICIAL Not Assigned

NOT CERTIFIED

My Proposals

C2-0583
Proposal Title
LAST MODIFIED: 01/11/2021 2:58 PM ET

Firm Information

35% COMPLETED

[Details](#)

Recent Activity

- Now** [COMPANY COMMERCIALIZATION REPORT](#)
• JTJ Holdings
• LAST ACCESSED: 01/11/2021 10:04 AM ET
- Now** [COMPANY COMMERCIALIZATION REPORT](#)
• JTJ Holdings
• LAST ACCESSED: 01/06/2021 8:58 AM ET



PROPOSAL VOLUMES – ARMY PHASE I



- Proposals submitted for topics under the Army Phase I 21.C solicitations should include:
 - Volume I: Proposal Cover Sheet
 - Volume II: Technical Volume
 - Volume III: Cost Volume
 - Volume IV: Company Commercialization Report
 - Volume V: Supporting Documents
 - Volume VI: Fraud, Waste and Abuse Training

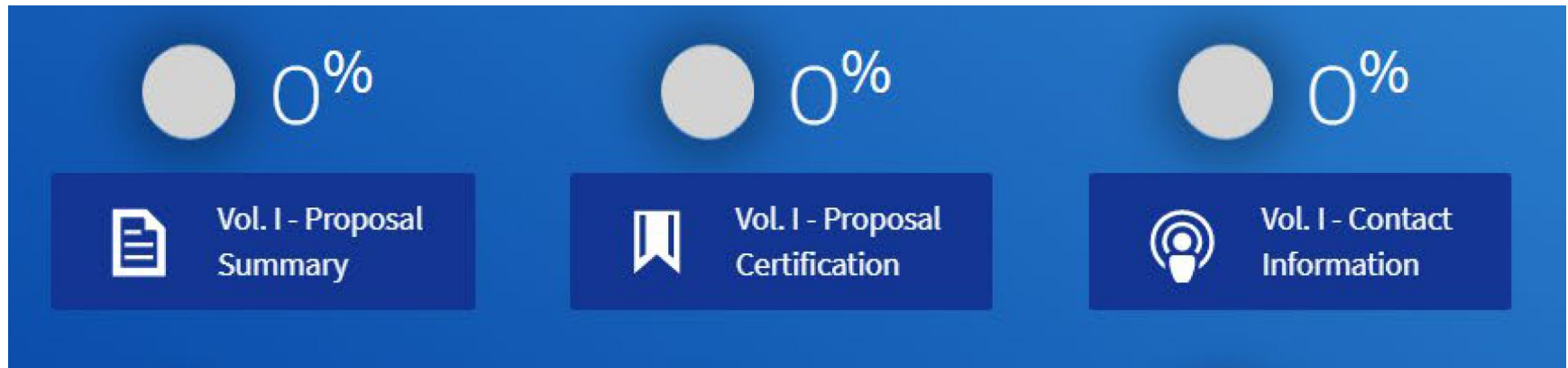
Please refer to the 21.C BAA for full guidance and instructions



VOLUME I – COVER SHEET



- Volume I is generated from company inputs:



Additional information can be found at:

<https://www.dodsbirsttr.mil/submissions/learning-support/training-materials>



VOLUME II – TECHNICAL VOLUME



- Volume II should address:
 - Identification and significance of the problem or opportunity
 - Phase I Technical Objectives
 - Statement of Work
 - Related Work
 - Relationship of proposed work to future research or research and development
 - Commercialization Strategy

*Note, information regarding key personnel, subcontractors and consultants as well as facilities and equipment should be included within the Technical Volume as it relates to the work to be performed; however, full technical resumes/biographical information, letters of support, and detailed descriptions of facilities and equipment should be reserved for inclusion in Volume 5, Supporting Documents. **The Technical Volume for the Army 21.C solicitation is Not To Exceed (NTE) 5 pages.***



VOLUME III – COST VOLUME



DoD SBIR 2020.1: A201-006-0004 - Artificial Intelligence for Emergency

Phase: Phase I | Program: SBIR | Component: ARMY

A

B



IN PROGRESS

FIRM FORMS 0%	VOL I - COVER SHEET 100%	VOL II - TECHNICAL VOLUME 100%	VOL III - COST VOLUME 0%	VOL V - SUPPORTING DOCUMENTS 0%	VOL VI - TRAINING CERTIFICATION 100%	CERTIFY 0%
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C

- VOL I - Cover Sheet (100%)
- VOL II - Technical Volume (100%)
- VOL III - Cost Volume (0%)**
- Direct Labor (0%)
- Overhead (0%)
- G&A (0%)
- Profit Rate / Cost Sharing (0%)
- Review
- VOL V - Supporting Documents (0%)
- VOL VI - Training Certification (100%)

COST VOLUME

Budget Allocated
\$0.00 / \$125,000.00

Complete the Cost Volume in the format shown in the Cost Breakdown. Some items in the Cost Breakdown may not apply to the proposed project. If that is the case, there is no need to provide information on each and every item. What matters is that enough information be provided to allow us to understand how you plan to use the requested funds if a contract is awarded.

- List all key personnel by name as well as by number of hours dedicated to the project as direct labor.

...

D Read more

Below are the standard components of your proposal budget.



Additional information can be found at:

<https://www.dodsbirsttr.mil/submissions/learning-support/training-materials>



VOLUME III – COST VOLUME



Do any of these additional components apply to your proposed research? Select the applicable component(s) and save your updates.

Note: Once saved, the selected components will be added to the proposal budget form and to the left menu for navigation.

Subcontractors /
Consultants

Select all Other Direct Costs (ODCs) that apply.

Materials

Supplies

Equipment

Travel

TABA

Other

Additional information can be found at:

<https://www.dodsbirsttr.mil/submissions/learning-support/training-materials>



VOLUME IV – COMPANY COMMERCIALIZATION REPORT (CCR)



- The CCR allows companies to report funding outcomes resulting from prior SBIR and STTR awards. SBIR and STTR awardees are required by SBA to update and maintain their organization's CCR on SBIR.gov.
- The CCR is available for download from www.SBIR.gov
- The Army **DOES** utilize the CCR for reviewing proposals.

Additional instructions for downloading the CCR can be found in the [DoD STTR 21.C Solicitation](#)



VOLUME V – SUPPORTING DOCUMENTS

- Volume V should include:
 - Contractor Certification Regarding Provision of Prohibited Video Surveillance and Telecommunications Services and Equipment (BAA Attachment 1)
 - Foreign Ownership or Control Disclosure Addendum (BAA Attachment 2)

ATTACHMENT 1

Department of Defense (DoD)
Small Business Innovation Research (SBIR) Program
Small Business Technology Transfer (STTR) Program

CONTRACTOR CERTIFICATION REGARDING
PROVISION OF PROHIBITION ON CONTRACTING FOR CERTAIN
TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR
EQUIPMENT (DFARS SUBPART 204.21)

Contractor's Name	
Company Name	
Office Tel #	
Mobile #	
Email	

Name of person authorized to sign: _____

Signature of person authorized: _____

Date: _____

The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.

DFARS PROVISIONS INCORPORATED IN FULL TEXT:

252.204-7016 Covered Defense Telecommunications Equipment or Services—Representation
COVERED DEFENSE TELECOMMUNICATIONS EQUIPMENT OR SERVICES—
REPRESENTATION (DEC 2019)

(a) *Definitions.* As used in this provision, “covered defense telecommunications equipment or services” has the meaning provided in the clause 252.204-7016, Prohibition on the Acquisition of Covered Defense Telecommunications Equipment or Services.

(b) *Procedures.* The Offeror shall review the list of excluded parties in the System for Award Management (SAM) (<https://www.sam.gov>) for entities excluded from receiving federal awards for “covered defense telecommunications equipment or services”.

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BAA Attachment 1

ATTACHMENT 2

OMB No. 0701-0187
OMB approval expires
August 31, 2021

Department of Defense (DoD)
Small Business Innovation Research (SBIR) Program
Small Business Technology Transfer (STTR) Program

DISCLOSURE OF OFFEROR'S OWNERSHIP OR CONTROL BY A
FOREIGN GOVERNMENT

In accordance with DFARS provision 252.209-7002, an offeror is required to disclose, by completing this form (and adding additional pages, as necessary), any interest a foreign government has in the offeror when that interest constitutes control by a foreign government, as defined in DFARS provision 252.209-7002. If the offeror is a subsidiary, it is also required to disclose any reportable interest a foreign government has in any entity that owns or controls the subsidiary, including reportable interest concerning the offeror's immediate parent, intermediate parents, and the ultimate parent.

DISCLOSURE	
Offeror's Point of Contact for Questions about Disclosure	Name: _____ Phone Number: _____
Offeror	Name: _____ Address: _____
Entity Controlled by a Foreign Government	Name: _____ Address: _____
Description of Foreign Government's Interest in the Offeror	
Foreign Government's Ownership Percentage in Offeror	
Identification of Foreign Government(s) with Ownership or Control	

DFARS 252.209-7002 Disclosure of Ownership or Control by a Foreign Government (JUN 2010)

(a) *Definitions.* As used in this provision—

(1) “Effectively owned or controlled” means that a foreign government or any entity controlled by a foreign government has the power, either directly or indirectly, whether exercised or exercisable, to

44
BAA Attachment 2



VOLUME V – SUPPORTING DOCUMENTS



- Volume V should include:
 - Contractor Certification Regarding Provision of Prohibited Video Surveillance and Telecommunications Services and Equipment (BAA Attachment 1)
 - Foreign Ownership or Control Disclosure Addendum (BAA Attachment 2)
 - *Full technical resumes/biographical information of Key Personnel*
 - *Letters of Support*
 - *Detailed descriptions of facilities and equipment*



VOLUME VI – FRAUD, WASTE AND ABUSE TRAINING



- The Fraud, Waste and Abuse (FWA) training is required for Phase I proposals.
- This training material can be found in the Volume 6 section of the proposal submission module in DSIP and must be thoroughly reviewed once per year.
- FWA training must be completed by one DSIP firm user with read/write access (Proposal Owner, Corporate Official or Firm Admin) on behalf of the firm.



HELPFUL DSIP JOBS AID AND VIDEOS

APPROVED FOR PUBLIC RELEASE



Additional proposal submission tutorials can be found at:

<https://www.dodsbirsttr.mil/submissions/learning-support/training-materials>

Including information on preparing:

- Volume I: Cover Sheet
- Volume III: Cost Volume
- Volume IV: Company Commercialization Report

The screenshot shows the DSIP Defense SBIR/STTR Innovation Portal website. The header is orange and contains the DSIP logo, navigation links (HOME, TOPIC INFO, PROGRAM INFO), and a Login/Register button. The main content area is white and features a 'Welcome to Learning & Support' heading. Below this are three tabs: Templates, Training Materials (selected), and Frequently Asked Questions. A table lists training materials with columns for Title, Purpose, and View/Download.

Title	Purpose	View/Download
Registration and Login	Overview and helpful features of the Registration process in the DSIP (Defense SBIR/STTR Innovation Portal) and logging in with Login.gov	Job Aid
Submissions Dashboard	Overview and helpful features of the DSIP (Defense SBIR/STTR Innovation Portal) Submissions Dashboard	Job Aid
Firm Forms	Overview and helpful features of the Firm forms	Job Aid
Proposal Landing Page	Overview and helpful features of the Proposal Landing Page	Job Aid



SBIR/STTR PHASE I PROCESS



SOLICITATION TOPICS

Agencies describe R&D topics in solicitations at fixed time periods over the year announced on FBO



PROPOSAL SUBMISSION

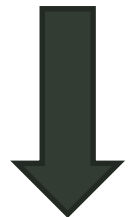
Small Businesses prepare short proposals.
Unsolicited proposals are not accepted.



EVALUATION

Agencies evaluate based on technical merit, firm's qualifications, and commercial potential/benefit

Selection Notification within **90 days**



PHASE I AWARD

Agencies make Phase I awards – contracts or grants.

Within **180 days**



SBIR/STTR PHASE I PROCESS



SOLICITATION TOPICS

- Proposals are evaluated by several tiers of evaluators using the following criteria, which are published in the BAA:
 - ✓ Technical Feasibility - Soundness, technical merit, and innovation of proposed approach and its incremental progress toward topic or subtopic solution (40%)
 - ✓ Qualifications of the firm and team to perform R&D and commercialize results - Strength of the Personnel & Facilities (30%)
 - ✓ Transition Potential - Potential for commercial application — government or private sector (30%)
- Each proposal is evaluated on its own merit and not evaluated against other proposals
- Evaluators complete source selection training and sign NDAs yearly; their evaluations of every proposal must address all criterion strengths and weaknesses and provide constructive comments to enable SBCs to submit better proposals in the future

Agencies make Phase I awards – contracts or grants.

Within **180 days**